Certification under 37 C.F.R. 1.8b

I hereby certify that this paper (along with any paper referred to as being associated herewith) is being facsimile transmitted to the U.S. Patent and Trademark Office to Fax, No. 571,273-6509 of July 17, 2009

Valerie Neymeyer-Tynko

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 09/890,371
Applicant: Cevc, et al.

Examiner: Mr. Bruce D. Hissong

Art Unit: 1646

Title: TRANSNASAL TRANSPORT/IMMUNISATION WITH HIGHLY ADAPTABLE

CARRIERS

Customer No.: 85965

Mail Stop 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

ASSERTION OF SMALL ENTITY STATUS UNDER 37 CFR 1.27(C) AND REQUEST FOR REFUND OF OVERPAID FEES UNDER 37 CFR 1.28

Assertion of small entity status under 37 CFR 1.27(c): Small entity status is entitled to be asserted in the above-referenced patent application. This document is submitted to assert small entity status for this application, in good faith, before the U.S. Patent and Trademark Office.

Request for Refund of Overpaid Fees under 37 CFR 1,28: A refund in the amount of \$505 is requested.

Applicant filed an Amendment in this application on May 10, 2009, with a credit card payment form authorizing a charge of \$1010 to pay for a two-month extension of term and 10 excess claims based on large entity status. The attached credit card statement (redacted) shows payment of \$1010 to the USPTO on May 11, 2009. However, as mentioned above, this application is entitled to small entity status. As small entity status fees for a two-month extension of term and 10 excess claim fees are \$505, Applicant requests a refund of \$1010-\$505=\$505. Please submit the refund to the same credit card charged on May 11, 2009 in this application. In the event that any fees are deemed due in this application, the Commissioner and Director are authorized to pay said fees from Deposit Account No. 50-4939, or to credit any overpayment therein. Questions relating to this matter may be directed to the below-signed representative.

Respectifully submitted,

Valerie Neymeyer-Tynkov, Reg. 50. 46,956

Neymeyer-Tynkov LLC 20 N. Clark St. Suite 600 Chicago, IL 60602

Phone: 312/965-1969

RECEIVED CENTRAL FAX CENTER

MAY 1 6 2009

Certification under 37 C.F.R. 1.8b

I haraby certify that this paper (along with any paper referred to as boing associated herewith) facsimile transmitted to the U.S. Patent and Trademark Office to Fax. No. 571/273-8300 on 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

09/890,371

Applicant:

Cevu, et al.

Fxaminer:

Bruce D. Hissong

Art Unit:

1646

Title:

TRANSNASAL TRANSPORT/IMMUNISATION WITH HIGHLY ADAPTABLE

Customer No.:

85965

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

REQUEST FOR EXTENSION OF TIME

Applicant respectfully requests a two-month extension of the present term for responding to the Office Action issued on December 11, 2008, from the present term ending March 11, 2009 up to May 11, 2009. Payment for said extension of time accompanies this Request.

Adjustment date: 07/27/2009 SDIRETA1 05711/2009 VBUI11 00000033 09890371

01 FC:1252

-490.00 OP

Respectfully submitted

₩alerie Neymeyer-Tynkov

Reg. No. 46,956

Neymeyer-Tynkov LLC

20 N. Clark St. Suite 600

Chicago, IL 60602

Phone: 312/965-1969

Refund Ref: 07/27/2009

0030072624

05/11/2009 VBUI11

68888833 69898371

01 FC:1252

498.88 OP

Credit Card Refund Total:

\$505.00

VI:PAGE 23/24* RCVD AT 5/10/2009 3:33:01 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/5 * DNIS:2738300 * CSID:3127823288 * DURATION (mm-ss):07-48

RECEIVED CENTRAL FAX CENTER

MAY 1 \$ 2009

Appln. No. 09/890,371 Amendment dated May 10, 2009 Reply to Office Action dated December 11, 2008

3127823288

Certification under 37 C.F.R. 1.8b

I nereby certify that this paper (along with any paper referred to as being associated herewith) is being facsimile transmitted to the U.S. Patent and Trademark Office to Fax. No. 574/273-8300 on,

Valerie Neymeyer-Tynkov

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

09/890,371

Applicant:

Cevc, et al.

Examiner:

Bruce D. Hissong

Art Unit:

1646

Title:

TRANSNASAL TRANSPORT/IMMUNISATION WITH HIGHLY ADAPTABLE

CARRIERS

Customer No.:

85965

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

AMENDMENT

Sir:

In response to the Office Action of December 11, 2008, please amend the above-identified application as set forth below. A request to extend the term for responding to the Action accompanies this Amendment, as does payment covering extension fees and excess claim fees.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 8 of this paper.

Remarks/Arguments begin on page 14 of this paper.

520.00 OP

Adjustment date: 07/27/2009. SDIRETA1 05/11/2009 VBUI11 00000033 09890371 02 FC:1202

05/11/2009 VBUI11

00000033 09898371

02 FC:1202

520.00 OP

Page 1 of 22

PAGE 1/24 * RCVD AT 5/10/2009 5:33:01 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-5/5 * DNIS:2738300 * CSID:3127823288 * DURATION (mm-ss):07-48